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National Clinical Target Validation Laboratory

Applied Developmental Directorate, Leidos Biomedical Research, Inc.

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Change History

Revision	Approval Date	Description	Originator	Approval
	1/25/2013	New Document. Previous data analyses were macro- based and included in SOP340505. The macro has been retired and replaced with Excel and GraphPad Prism to allow for a more user-friendly and adaptable analysis package.	KFG, YAE	KFG
А	1/22/2014	Minimum allowable protein concentration for reporting increased. Definitions of clinical reporting abbreviations added. Percent CV criteria for background wells removed.	KFG, YAE	KFG

Please check for revision status of the SOP at

http://dctd.cancer.gov/ResearchResources/ResearchResources-biomarkers.htm

and be sure to use the current version.







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1.0 **PURPOSE**

Standardize an enzyme-linked immunosorbent assay (ELISA) method for quantifying poly(ADP-ribose) (PAR) levels as a pharmacodynamic (PD) measure of PAR polymerase (PARP) inhibitors and/or chemotherapeutic agents.

2.0 **SCOPE**

This procedure applies to all personnel involved in the analysis of PAR levels by the PAR Immunoassay during clinical trials. The goal of the SOP and associated training is to ensure consistency in analysis of PAR measurements across samples and clinical sites.

3.0 **ABBREVIATONS**

=	Control
=	Coefficient of Variation
=	Division of Cancer Treatment and Diagnosis
=	Enzyme-Linked ImmunoSorbent Assay
=	Immunoassay
=	Identification / Identifier
=	Internal Quality Control
=	Insufficient Protein or PBMCs
=	Laboratory of Human Toxicology and Pharmacology
=	Lower Limit of Quantitation for assay in RLU units
=	Lower Limit of Quantitation for assay in pg/mL units
=	National Clinical Target Validation Laboratory
=	Not Reportable
=	Pharmacodynamic Assay Development and Implementation Section
=	Poly(ADP-ribose)
=	Poly(ADP-ribose) Polymerase
=	Peripheral Blood Mononuclear Cell
=	Pharmacodynamic
=	Quality Control
=	Relative Light Unit
=	Standard Deviation
=	Standard Operating Procedure
=	Upper Limit of Quantitation

4.0 **INTRODUCTION**

The PAR Immunoassay has been developed to measure the effect of PARP inhibitors and/or chemotherapeutic agents on PAR levels in a variety of biospecimen types, including peripheral blood mononuclear cells (PBMCs) and tissue/tumor biopsies. An ELISA is used to first capture PAR from total cell extracts on plates coated with a PAR capture monoclonal antibody. The captured protein is then detected using a PAR polyclonal detection antibody followed by addition of an HRP-conjugate to allow chemiluminescent readout and quantitation of PAR levels. Assay quality control criteria are applied to the background, calibrator, and control samples to validate the assay run. Quality control criteria are then applied to the unknown samples and data reporting guidelines are defined.









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5.0 **ROLES AND RESPONSIBILITIES**

Laboratory Director/Supervisor	The Laboratory Director/Supervisor, directs laboratory operations, supervises technical personnel and reporting of findings, and is responsible for the proper performance of all laboratory procedures. The Director/Supervisor oversees the personnel running SOPs within the laboratory and is responsible for ensuring the personnel are certified and have sufficient experience to handle clinical samples.
Certified Assay Operator	A Certified Assay Operator may be a Laboratory Technician/ Technologist, Research Associate, or Laboratory Scientist who has been certified through DCTD training on this SOP. The Certified Assay Operator works under the guidance of the Laboratory Director/Supervisor. This person performs laboratory procedures and examinations in accordance with the current SOP(s), as well as any other procedures conducted by a laboratory, including maintaining equipment and records, and performing quality assurance activities related to performance.

- 5.1 It is the responsibility of the Laboratory Director/Supervisor to ensure that all personnel have documented DCTD training and qualification on this SOP prior to the actual handling and processing of samples from clinical trial patients. The Laboratory Director/Supervisor is responsible for ensuring the Certified Assay Operator running the SOP has sufficient experience to handle and analyze clinical samples.
- 5.2 The Certified Assay Operator responsible for conducting the data analysis and quality control metrics is to follow this SOP and complete the required tasks and associated documentation. The Batch Record (Appendix 1) must be completed in *real-time*, with each page *dated and initialed*, and placed with the clinical sample information.
- 5.3 Neither the Certified Assay Operator nor the Laboratory Director/Supervisor will interpret the assay readout data in terms of drug effect in the Clinical Sample Data Report (Appendix 4). This report should simply state the PAR readout value or define the sample as unquantifiable or unanalyzable.
- 5.4 The responsible personnel are to check the DCTD Biomarkers Web site (http://dctd.cancer.gov/ResearchResources/ResearchResources-biomarkers.htm) to verify that the latest SOP version is being followed.









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6.0 MATERIALS AND EQUIPMENT REQUIRED

- 6.1 PC
- 6.2 Microsoft Excel 2003, 2007, or 2010
- **6.3** GraphPad Prism, Version 5.0 (GraphPad Software, Inc.)
- 6.4 Data needed from immunoassay results to be analyzed:
 - **6.4.1** Plate Map well assignments, Sample Calculation Table, and Tecan plate readout from SOP340505 (PAR Immunoassay)
 - 6.4.2 Lot-specific ranges for High-, Mid-, and Low-C quality control samples
- 6.5 Template files supplied during training and available upon request:
 - 6.5.1 PAR immunoassay analysis Excel workbook template Version 001: SOP340530_PAR_template.xslt
 - 6.5.2 PAR immunoassay analysis GraphPad Prism template Version 001: SOP340530_PAR_template.pzf

* If software differs from those recommended above, the Certified Assay Laboratory must prove their comparability or equivalence to those recommended.









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7.0 **OPERATING PROCEDURES**

7.1 A copy of the Tecan Microplate reader output and the Clinical Sample Calculation Table from SOP340505 will be needed. In addition, the lot-specific ranges for the High-, Mid-, and Low-C samples used in this assay run will be needed for quality control analysis. **Important**: The PAR Immunoassay analysis Excel workbook template used in this SOP is based on the 96-well sample designations in the Plate Map from SOP340505.

- 7.2 Record the name and certification number of the Certified Assay Operator and the facility running the SOP in the Batch Record (Appendix 1). In addition, indicate the date of the original PAR immunoassay run and Plate ID (if available) in the Batch Record.
- 7.3 Record the version of GraphPad Prism and name of original Tecan data file to be analyzed (Appendix 1, Section 1).

Important: If at any time the **Assay Fails QC**, do not continue with the analysis. State in the Batch Record (Appendix 1, Section 3) the reason for assay failure and notify the Laboratory Director/Supervisor of the assay failure. Rerun the assay with fresh reagents. Batch Records for any assay that fails OC should be maintained per laboratory procedures to track assay and laboratory performance.

Plate Map Layout QC (Excel, "Tecan Raw Data" and "Plate Layout QC") 7.4

- Open the Excel Template (SOP340530 PAR template.xslt) and save as an Excel 7.4.1 document with a unique file name (e.g., SOP number + run date + unique plate identifier). Record the file name in the Batch Record (Appendix 1, Section 2A).
- 7.4.2 Paste the exported Tecan data into the worksheet titled "Tecan Raw Data." This is considered the raw, source data for data analysis; do not edit or modify the data on this worksheet.
- If the recommended PAR Plate Map from SOP340505 and illustrated on top of the 7.4.3 worksheet titled "Plate Layout OC" was used, copy and paste just the RLU readings from the "Tecan Raw Data" worksheet into the blank plate cells provided at the bottom of the "Plate Layout QC" worksheet (cells C21 - N28). To maintain the background colors, select "Match Destination Formatting" after pasting (not required).
 - If the 96-well plate was set-up in a different orientation than that outlined in 7.4.3.1 SOP340505 and illustrated on top of the worksheet titled "Plate Layout QC" (not recommended), cell-by-cell copy and paste the data from the "Tecan Raw Data" worksheet into the appropriate wells on the "Plate Layout OC" worksheet such that they line up with the PAR color-coded Plate Map layout.
 - 7.4.3.2 Once data has been pasted into the PAR Plate Map QC section of the "Plate Layout QC" worksheet, do not drag and drop data from one cell to another. Cells are linked throughout the Excel worksheets and dragging and dropping will break these links.
- If any sample wells were blank (i.e., no sample loaded), delete RLU readings from those 7.4.4 cells on the Plate Map OC section of the "Plate Layout OC" worksheet.
- Indicate in the Batch Record if the recommended PAR Plate Map was used or if cells had 7.4.5 to be copy and pasted individually to the "Plate Layout QC" worksheet (Appendix 1, Section 2B). Specify the reason for the deviation from the plate layout map in the Batch Record.







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7.5 Background Well QC (Excel, "Assay QC")

	7.5.1	On the w auto-fille automatic are used standard	On the worksheet titled "Assay QC," all values from the "Plate Layout QC" are auto-filled at the top of the worksheet and formulas within the worksheet will automatically perform QC determination for the background wells. A total of 14 wells are used for background determination; the 4 corner wells and 2 adjacent to the high standard are not used for background level calculation.						
	7.5.2	A ± 2 SE backgrou) cut-off is applied to the initial 14-well dataset to identify outliers; if a ind well RLU value is ≥ 2 SD from the mean, the cell will be highlighted in red.						
	7.5.3	To clean	ly remove outliers from the background dataset without error, do the following:						
		7.5.3.1 First , copy and paste the RLU <u>values</u> for all wells that are in red (≥ 2 SD from mean) into cells B24 - F25 to document those that will be eliminated as outliers.							
		7.5.3.2 Second , delete those values that were copied and pasted into cells B24 - F25 from the background dataset cells (B18 - E21).							
		 Important: The mean and SD values will update as outliers are deleted and this may result in loss of the red coloring; use the copy and pasted values as the primary reference for which cells to delete. 							
		• (i v	Jpon deletion of outliers from the initial background dataset, some wells may be dentified as ≥ 2 SD from the new mean. Do not delete any further background wells, the ± 2 SD criteria should only be applied once to the dataset.						
7.6	Standa	rd Curve	QC (Excel, "Assay QC")						
	7.6.1	Formulas determin section st Director/ Section 3 fresh reas	s within the "Assay QC" worksheet will automatically perform QC ation for the low and high standards with the criteria listed below. If any QC tates " Assay Fails QC ," do not continue with the analysis; notify the Laboratory (Supervisor of the assay failure. State in the Batch Record (Appendix 1, B) the reason for assay failure, and go to SOP Step 7.11. Rerun the assay with gents.						
	767	Low Sta	ndard OC and LLO Assignments						

7.6.2 Low Standard QC and LLQ Assignment:

- In order to use the 7.8 to 15.6 pg/mL range of the standard curve, the mean RLU readout of the 7.8 pg/mL standard must be ≥ 3 SD above the mean RLU readout of the background; this value is referred to as the LLQ-RLU.
- If the 7.8 pg/mL standard fails, then the mean RLU readout of the 15.6 pg/mL standard must be \geq 3 SD above the mean RLU readout of the background.
- If the 15.6 pg/mL standard also fails, the Assay Fails QC (cell H29).
- The lowest passing standard is assigned as the LLQ (pg/mL) for the assay (cell J24).







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7.6.3 Signal-to-background (S/B) ratio QC and ULQ Assignment:

- The ratio for the lowest passing standard (7.8 or 15.6 pg/mL) RLU readout to the mean RLU readout of the background must be ≥ 1.1. If not, the Assay Fails QC (cells H33).
- The ratio of the highest standard RLU readout (1000 pg/mL) to the mean RLU of the background must be \geq 15. If not, the **Assay Fails QC** (cells H35).
- If the high standard passes QC, it is assigned as the ULQ (pg/mL) for the assay (cell J25).

7.7 Non-Linear Regression and Data Transformation (GraphPad and Excel, "GraphPad Prism")

- 7.7.1 Open the GraphPad Prism template file (SOP340530_PAR_template.pzf) and save it with a unique file name mirroring the matched Excel analysis file. Record the file name in the Batch Record (Appendix 1, Section 2A).
 - The GraphPad template file has already been set-up with all required criteria for data analyses by non-linear regression and transformation. Numerical values of "1" have been assigned as place holders in the data table. See GraphPad set-up in <u>Appendix 2</u>.
- 7.7.2 On the Excel worksheet titled "GraphPad Prism" copy cells D8 G37 from the section "To GraphPad Prism" and paste it into the GraphPad Data Table titled "From Excel" (Data Tables > From Excel). Delete any remaining "1" values in the GraphPad Prism file from unused sample rows.
 - All standard, control, and unknown sample RLU values (Replicate Data columns "A:Yn") have the mean background level subtracted.
 - The values for the expected standard ranges (column "X") are expressed as a Log₁₀ value for non-linear regression analysis.
- **7.7.3** Go to the "Table of results" sheet (Results > Nonlin fit of From Excel > Table of results) and verify that the "R square (weighted)" value in row 17 is ≥ 0.96 .
 - If the R square is < 0.96, the Assay Fails QC. State in the Batch Record (Appendix 1, Section 3) the reason for assay failure, and go to SOP Step 7.11. Rerun the assay with fresh reagents.
- 7.7.4 Before proceeding, print the following four tables and graphs from GraphPad:
 - Data Tables > From Excel
 - Results > Table of results
 - Results > Transform of Nonlin fit of From Excel
 - Graph > From Excel
- 7.7.5 Go to the results sheet titled "Transform of Nonlin fit of Data" (Results > Transform of Nonlin fit of From Excel). These values have been transformed to represent PAR levels (background subtracted) in pg/mL rather than RLU.
- **7.7.6** Copy the values in the columns A:Y1, A:Y2, and A:Y3 and paste into the Excel worksheet titled "GraphPad Prism" under the section titled "From GraphPad" (light yellow cells). To maintain the background colors, simply select "Match Destination Formatting" after pasting (not required).









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7.7.7 Save and close the GraphPad Prism file.

7.8 Xenograft Lysate Control QC (Excel, "Control QC")

- **7.8.1** On the Excel worksheet titled "Control QC," for each control sample (Low, Mid, and High) enter the pg/mL PAR ranges (top and bottom end) determined with the **specific** lot of control reagents used for the assay (cells C16 D21).
- **7.8.2** Formulas within the worksheet will report the QC determination for the control samples with the following criteria:
 - At least one control at each level (Low-, Mid-, and High-C) must have a CV of < 20% for the replicate wells (cells G6 G11).
 - At least one control at each level and at least 4 of 6 controls overall must fall within the defined PAR pg/mL range determined for the specific lot of critical reagent (cells E16 - E21).
- **7.8.3** If any of these criteria are not met, the **Assay Fails QC** (cell F16). State in the Batch Record (Appendix 1, Section 3) the reason for assay failure, and go to SOP Step 7.11. Rerun the assay with fresh reagents.
- **7.8.4** For any control lysate out of specification, bring the data to the Laboratory Director/Supervisor to review along with recent assay runs to be sure there is not a broader problem with critical reagents or assay equipment.

7.9 Unknown Sample Replicate QC and LLQ/ULQ QC (Excel, "Unknown QC")

- **7.9.1** Replicate values on the "Unknown QC" worksheet are auto-filled from the "GraphPad Prism" worksheet.
 - 7.9.1.1 If during GraphPad Prism analysis all replicate values for a sample are removed (blank cells), review the RLU readings for this sample compared to the lowest and highest calibrator and state in the "Notes" column if the sample was < LLQ or > ULQ, respectively, based on this comparison.
 - 7.9.1.2 In the "Notes" column, specify "no sample" for those rows where blank cells simply indicate no sample was loaded.
- **7.9.2** Formulas in the "Unknown QC" worksheet will automatically perform %CV QC determination for the unknown sample replicate wells with the criteria listed below:
 - Triplicate repeats for each sample must have a CV < 20%. If all samples Pass %CV QC, proceed to SOP Step 7.9.4.
 - If an unknown sample has ≥ 20% CV (column H) and is listed as "Fail" in column I, go to SOP Step 7.9.3 and perform a Dixon's test for outliers.







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7.9.3 Dixon's Test Outliers on Triplicate Replicates of Unknown Samples (see <u>Appendix 3</u>)						

7.9.3.1

		copy and paste the triplicate repeat values [*] (columns C - E) into the Dixon's calculator input cells (C26 – E26). * Note : The values in columns C - E are auto-filled using an Excel formula; when copy and pasting to the Dixon's calculator, "Paste Special" as "Values Only."
	7.9.3.2	If either the lowest (X1) or highest (X3) value from the triplicate repeats can be eliminated, the Dixon's calculator will state "Yes, Xn is an Outlier" (cells E33 – E34). Delete that value from the replicate data (columns C - E) and state in the "Notes" column "Outlier removed."
	7.9.3.3	If the 2 remaining repeat values (when one has been removed) have a %CV $\geq 20\%$, <u>OR</u> none of the replicates are rejected as an outlier when %CV is $\geq 20\%$:
		• First assess if the mean (column F) is < LLQ or > ULQ (SOP Step 7.9.4); if it is, delete the mean for that dilution (column F), and state "Sample < LLQ or > ULQ."
		• If sample is within the dynamic range of the assay, delete the mean for that dilution (column F), and state "Sample Fails %CV QC."
7.9.4	Review (> ULQ (cells F26	the average PAR levels (column F) and identify any values that are < LLQ or highlighted in blue). The assay LLQ and ULQ values have been carried over in 5 - F27 from the "Assay QC" worksheet.

If a sample has a $CV \ge 20\%$ (column H) and is listed as "Fail" in column I,

- 7.9.4.1 If the average value for an unknown sample is < LLQ or > ULQ, delete the mean value for that dilution (column F) and in the "Notes" column state "Sample < LLQ" or "Sample > ULQ."
- 7.9.4.2 If a sample is > ULQ and there is sufficient sample volume, it can be re-run with fresh reagents at a 2-fold lower protein load/well. If a sample is < LLQ and there is sufficient sample volume, it can be re-run at a 2-fold higher protein load/well.

7.10 Dilution Linearity QC for Unknown Samples (Excel, "PAR Determination")

Note: The calculations set up in the lower portion of the "PAR Determination" worksheet for dilution linearity QC assume the Plate Map recommendations from SOP340505 were followed.

If both tumor biopsy and PBMC samples are being run on the same plate, the tumor biopsies should have been loaded first followed by the PBMC samples. For example, pre-dose biopsy dilutions in S1 - S3; post-dose in S4 - S6; and PBMC samples in S7 - S16. Every three tumor biopsy samples (e.g., S1, S2, S3) would represent the 1, 2, and 4 μ g loads from a single patient's tumor biopsy sample.

- **7.10.1** On the Excel worksheet titled "PAR Determination" using the Clinical Sample Calculation Table from the SOP340505 Batch Record, enter the following information:
 - 7.10.1.1 Sample/patient ID (cells C6 C21). Enter "no sample" if the wells were empty.







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- 7.10.1.2 For tumor biopsy samples, enter the total μ g protein loaded (e.g., 1, 2, or 4 μ g/well) for each sample (cells D6 D21); for PBMC samples, enter the relative cell number loaded (e.g., 250,000) in each well (cells F6 F21).
 - The total volume loaded per well, 75 μ L, is calculated from SOP340505: 25 μ L unknown sample plus 50 μ L loading buffer per well.
 - Since mean PAR values for samples < LLQ or > ULQ were deleted in SOP Step 7.9.4, they are not included in dilution linearity assessment or PAR level determination. If all three dilutions from a single sample are < LLQ or > ULQ, the sample is reported as unquantifiable (see SOP Steps 7.11.3.2/.4.2).
- **7.10.2** On the bottom half of the "PAR Determination" worksheet, formulas will automatically back-calculate the total PAR protein in $pg/\mu g$ total protein (cells D27 D42) for tumor biopsy samples or total PAR in $pg/1 \times 10^7$ PBMCs (cells K27 K42). Sample/Patient ID information is auto-filled dependent on sample data entered at the top of the worksheet.

If only PBMC samples were run on the plate, no further analysis is required; proceed to SOP Step 7.11.









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- **7.10.3** Dilution linearity for the tumor biopsy dilutions (1, 2, and 4 μg loads) is determined by calculating the percent PAR in each dilution relative to the average of the three. Values between 85% and 115% pass dilution linearity QC; any samples falling outside of this range will appear red in the worksheet (cells F28 F43). Apply dilution linearity pass/fail criteria as follows:
 - If <u>1 of 3 fails</u> dilution linearity, delete the PAR value for that sample (cells D27 D42) and verify that the remaining 2 samples fall within the acceptable dilution linearity criteria. In the "Notes" column state "*Dilution non-linear*."
 - If <u>2 of 3 fail</u> dilution linearity, delete the PAR value (cells D27 D42) that is farthest from 100% and verify that the remaining 2 samples fall within the acceptable dilution linearity. In the "Notes" column state "*Dilution non-linear*" for the sample that was deleted.
 - If <u>3 of 3 fail</u> dilution linearity OR <u>the 2 remaining</u> fail dilution linearity (when one has been removed), in the "Notes" column for all three samples state "*All samples failed dilution linearity*." If there is sufficient lysate, re-run the unknown in a new assay; if there is insufficient lysate, report results as described in SOP Step 7.11.3.3.

7.11 Clinical Sample Data Report

Important: Decisions regarding levels of pre-dose PAR levels needed to measure a drug effect post-dose will be made by the Clinic or pharmacodynamic Laboratory Director/Supervisor and should not be reported in the Clinical Sample Data Report.

- **7.11.1** For <u>each patient</u>, compile the final PAR assay results using the Clinical Sample Data Report Template (<u>Appendix 4</u>).
- **7.11.2** Enter the patient and clinical protocol information.
- **7.11.3** The following abbreviations should be used for consistency. See details in next sections for when to use these abbreviations.
 - <LLQ (< X.XX pg/µg) or > ULQ (> X.XX pg/µg), below or above cut-off for reportable assay results, respectively.
 - NA, no biopsy provided;
 - NR: not reportable following descriptors should be added when appropriate
 - QC Fail, not reportable due to assay QC failure;
 - IP, not reportable due to insufficient protein
- 7.11.4 <u>Report biopsy results</u> to the Clinic using the information on the "PAR Determination" worksheet as follows:
 - 7.11.4.1 Unknown samples should be reported as NR, with no value reported, if any of the following are true:
 - The assay or sample failed QC and there is insufficient lysate to re-run the unknown: NR, QC Fail.
 - A lysate sample had insufficient protein (IP, $\leq 0.25 \ \mu g/\mu L$) by BCA analysis (SOP340520); this sample will not have been analyzed by the PAR Immunoassay: NR, IP.







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	7.11.4.2	Unkn levels lysate	own sample s for <u>all thre</u> e to re-run th	s should be rep <u>e</u> dilutions we e unknown sai	ported as < LLQ or > 1 re < LLQ or > ULQ, a nple.	ULQ, if the average PAR nd there is insufficient
		• T > tł L	The GraphPa ULQ shoul ne assay. Ins evels" colur	d-transformed d not be report stead state if th nn of the Clini	PAR readout value fo ed as these are outside e sample was < LLQ cal Sample Data Repo	r samples < LLQ or e the dynamic range of or > ULQ in the "PAR ort (see below).
		• F m N	or samples v ninimal poss lote" section	where <u>all three</u> ible concentrat of the Clinica	dilutions were < LLC ion will be reported to l Sample Data Report	Q or > ULQ a maximal or the Clinic in the "QC as follows:
	• <u>If a sample was < LLQ</u> , use the calculations at the bottom of the "PAR Determination" worksheet (cells E46 – E48) to report the maximum PA concentration in pg/ug that <i>could</i> have been present in the sample.					
			The calcula should be u ([LLQ valu	tion for the <u>his</u> sed as the LLC e * 0.1 mL we	<u>ghest protein load</u> for t assignment. The wo ll volume]/protein loa	the unknown sample rksheet calculation is d).
			Example	PAR Leve	ls	
		Ļ		pg/µg prot	ein (QC Note
			Baseline	16.25		
			Post-Dose	< LLQ	0.15 pg/µg; max	k. possible PAR level
		0	If a sample Determinat PAR conce	was > ULQ, u ion" workshee ntration in pg/	se the calculations at t t (cells G46 – G48) to ag that <i>could</i> have bee	he bottom of the "PAR report the minimum on present in the sample

The calculation in pg/µg that *could* have been present in the samp The calculation for the <u>lowest protein load</u> for the unknown sample should be used for ULQ assignment. The worksheet calculation is ([ULQ value * 0.1 mL well volume]/protein load).

Example	PAR Levels pg/µg protein	QC Note
Baseline	35.63	
Post-Dose	> ULQ	75 pg/µg; min. possible PAR level









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7.11.4.3 For all other samples, report the PAR value as follows:

- For samples where ≥ 2 dilutions passed the dilution linearity and other QC criteria; report the calculated average PAR levels in pg/µg protein (cells E27 E42).
- If PAR levels for <u>only 1 dilution</u> was within the dynamic range of the assay (> LLQ or < ULQ), and there is insufficient lysate to re-run the unknown sample; report the PAR readout value for that dilution and state "xx μg protein load reported; other dilutions < LLQ or > ULQ."
- If <u>3 of 3</u> OR <u>the 2 remaining</u> samples (when one has been removed) fail dilution linearity or other QC criteria, report the PAR levels in $pg/\mu g$ protein for the dilution (i.e., S1, S2, or S3) with the <u>lowest protein load</u> that falls within the range of the assay. In addition, state "*Lowest protein load* reported; sample dilutions non-linear" in the "QC Note" section.
- 7.11.5 <u>Report PBMC results</u> to the Clinic using the information on the "PAR Determination" worksheet as follows:
 - 7.11.5.1 Unknown samples should be reported as NR, with no value reported, if any of the following are true:
 - The assay or sample failed QC and there is insufficient lysate to re-run the unknown: NR, QC Fail.
 - A lysate sample had insufficient PBMCs (IP, ≤ 1 x 10⁶ PBMCs total; (SOP340506); this sample will not have been analyzed by the PAR Immunoassay: NR, IP.
 - 7.11.5.2 Unknown PBMC samples should be reported as < LLQ or > ULQ, if the average PAR levels for that PBMC sample was < LLQ or > ULQ, and there is insufficient lysate to re-run the unknown sample.
 - The Graph-Pad transformed PAR readout value for samples < LLQ or > ULQ should not be reported as these are outside the dynamic range of the assay. Instead state if the sample was < LLQ or > ULQ in the "PAR Levels" column of the Clinical Sample Data Report (see below).
 - For samples < LLQ or > ULQ a minimal or maximal possible concentration will be reported to the Clinic in the "QC Note" section of the Clinical Sample Data Report as follows:
 - If a sample was < LLQ (or >ULQ), use the calculations at the bottom of the "PAR Determination" worksheet (cells K46-L47) to report the maximum (or minimum) PAR concentration in pg/1 x 10^7 cells that *could* have been present in the sample.

The fold dilution of cells loaded per well from 1×10^7 cells is used for this calculation. The worksheet calculation is ([LLQ or ULQ value * 0.1 mL well volume]/ [1×10^7 cells / relative cell number in well]).

Example	PAR Levels	
	pg/1E7 PBMCs	QC Note
C1, D1 4h	< LLQ	23 pg/1E7 PBMCs; max. possible PAR level
C1, D18, 6h	> ULQ	3,000 pg/1E7 PBMCs; min. possible PAR level

7.11.5.3 For all other PBMC samples, report PAR in $pg/1 \ge 10^7$ cells (K27 – K42).







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- 7.12 Review and finalize the Batch Records (Appendix 1) and obtain required signatures. Document ANY and ALL deviations from this SOP in the Batch Record (Appendix 1, Section 3).
- **7.13** The Laboratory Director/Supervisor should review the Batch Record and Clinical Sample Data Report for each patient and date and sign both affirming the data contained within the reports are correct (Appendix 1, Section 4).
- **7.14** The **signed** Clinical Sample Data Reports for each patient should be sent to the clinical protocol Principal Investigator.







_			DC	CTD Standard Ope	rating Proc	edures (SOP)	
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A]	PPEND	IX 1: I	BATCH RE	CORD			
Ce	rtified Ass	ay Opera	ator:				
		Certi	fication Number	r:			
Fa	cility/Labo	ratory R	unning SOP:				
Da	ta Immuno	assay R	un: /	/			
Pla	te ID (opti	onal): _					
1.	Softw	vare					
	Grapl	hPad Pri	ism Vers	ion:			_
	Name	e of orig	inal Tecan data	file:			_
2.	Plate	Map Q	C				
	A.	Name	e of saved PAR	Excel data analysi	s workboo	<u>k</u>	
		Nam	e of saved PAR	GraphPad Prism f	ile		
	P						
	В.	Plate	Map Set Up QC	2			
			Recommend	ed PAR Plate Map	used from	SOP340505	
			Alternative p worksheet	late map used; cel	ls copy and	d pasted individually to	the Plate Layout QC
			Reason:				
3.	Notes	s, includ	ling any deviat	ions from the SO	P:		
	If ass	ay fails	QC, state the sp	ecific reason for as	ssay failure	e and notify the Labora	tory Director/Supervise

4. Laboratory Director/Supervisor Review of Batch Record

Laboratory Director/Supervisor:	 (PRINT)
	 (SIGN)
Date:	

BATCH RECORD:

INITIALS _____

DATE:	

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APPENDIX 2: GRAPHPAD PRISM SET-UP

While a GraphPad Prism Template document is supplied, below are the steps used to set-up the document from a blank Project File. The PAR immunoassay analysis GraphPad Prism template (SOP340530_PAR_template.pzf) supplied during training has all data tables and graphs set-up with numerical values of "1" assigned as place holders in the data table.

- 1) GraphPad Prism Non-Linear Regression and Unknown Sample Transformation
 - a. Open GraphPad Prism and create an XY graph type with the Y axis showing 3 replicate values in side-by-side sub-columns; plot the Mean only.
 - b. Retitle the X and Y headers as shown in the GraphPad image below. Copy and paste the values in blue on the 'GraphPad Prism' Excel worksheet onto the Data Table (see image below for setup). **Note:** In the supplied GraphPad Prism template, the data table is titled "From Excel," the default name is "Data 1."

"GraphPad Prism" Excel worksheet

GraphPad Prism Data Table

						able format:				
						XY	pg/mL Standard (Log[X])	R	eplicate Data	
						×	X	A:Y1	A:Y2	A:Y3
					1	7.8 pg/mL	0.89	294219	238939	
					2	15.6 pg/mL	1.19	476779	435779	
rism					3	31.3 pg/mL	1.50	909589	834089	
115m					4	62.5 ng/ml	1.80	2066689	2035389	
ple RLU va	lues have the mean	background leve	I subtracted . Co	py and paste all	5	125 ng/ml	2.10	4433289	4230389	
lues into Giraph	Pad Prism in the corres	ponding areas of the	Data Table titled "Fi	om Excel."	6	123 pg/mL	2.10	4455205	4230303	
	X		A		0	250 pg/mL	2.40	9456669	0920209	
Format V	pg/mL Standard		Benlicate Data		1	500 pg/mL	2.70	2e+007	2e+007	
	X	A:Y1	A:Y2	A:Y3	8	1000 pg/mL	3.00	4e+007	4e+007	
7.8 pg/mL	0.89	294219	238939		9	LOW		1345089	1408789	
31.3 pg/mL	1.50	909589	834089		10	MID		6255489	5912289	
62.5 pg/mL 125 pg/ml	1.80	2066689 4433289	2035389 4230389		11	HIGH		3e+007	3e+007	
250 pg/mL	2.40	9456689	8928289		12	S1		1e+007	1e+007	
500 pg/mL 1000 pa/mL	2.70	19921189 37555189	19399189 37360189		13	\$2		4001289	3997889	
LOW		1345089	1408789	1370989	- 14	02		2001200	3357 865	
MID HIGH		6255489 33509189	32855189	33555189	14	33		2200009	22597.69	
		423149	419609	439239	15	54		3838389	3954289	
		746089	1335889	1346389	16	S5		1e+007	9964189	
		344879	432319	352129	17	S6		8946089	8701089	1
		334169 452899	350749 515199	334689 480499	18	S7		907089	863489	
		14767189	13640189	14472189	19	S8		770489	792289	
59 59		2288889	2259789	2318789	20	S9		535809	489319	
S10 S11		3838389 10214189	3954289 9964189	4052589	21	S10		587789	596549	
12		8946089	8701089	8937089	22	S11		1021589	1056389	
513 514		907089 770489	863489 792289	870289 851889	23	S12		827689	808989	
615 816		535809	489319 E90549	501529	24	Q12		403140	419600	
517		1021589	1056389	1080189	24	013		423149	413009	
18 19		827689	808989	892789	25	514		746089	763689	
20					26	515		1317489	1335889	
					27	S16		344879	432319	
					28	S17		334169	350749	
					29	S18		452899	515199	
					30	S19		1945589	1983789	
					24	000		77000	670670	

2) In GraphPad Prism, on the menu ribbon in the Analysis box, select Analyze. Under the XY Analysis section, select "Nonlinear regression (Curve fit)" and the A-Replicate Data set should be selected in the right-hand window. Click OK.





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- 3) The non-linear regression (NLR) parameters window will appear. Tab-by-tab, make the following updates:
 - a. On the Fit tab, under 'Dose response-Stimulation,' select "log(agonist) vs. response -- Variable slope (four parameters)." At the bottom of the tab, under the 'Fitting Method' select "Least squares (ordinary) fit" and under 'Interpolate' check "Interpolate unknowns" with CI set at None.
 - b. On the Weights tab select "Weight by 1/Y² (minimize the relative distances squared)" as the Weighting method and under 'Replicates' select "Consider each replicate Y value as an individual point."
 - c. On the Output tab under 'Location of interpolated X values,' select "Y column, maintaining the side-by-side arrangement of replicates." Change Number of digits in output to 2 significant digits.
 - d. Set up the Diagnostics tab as follows:

Fit Compare	Constrain Weights Initia	values Range Output Diagnostics
Do the initial pa	rameter values define a	curve near the data?
🔘 Don't fit the cu	urve. Instead plot the curve	defined by the initial values of the parameters
Fit the curve.	Maximum number of iteratior	ns 20000 🚔
How precise are	the best-fit values of t	he parameters?
SE of parame	ers	
CI of paramete	ers: 95% 💙	Output Format: Range ("1.23 to 4.56")
Plot 95% co	onfidence band 🔽	
How to quantify	goodness-of-fit?	
🔽 R square	Sum-of-Squares	Sy.x
Normality tests.	Are the residuals Gauss	sian?
🗹 D'Agostino-Pe	arson (recommended)	
Shapiro-Wilk		
Kolmogorov-S	mirnov (not recommended)	
Does the curve	systematically deviate f	rom the points?
Runs test	Replicates test	🗹 Residual plot (create a separate graph)
Are the paramet	ers intertwined or redun	idant?
Covariance of	parameters	Dependency
Could outliers in	pact the results?	
Count the out	iers	
would it help to	use stricter convergen	ce criteria?
Medium	Automatically swit	ich to strict convergence when needed
Make these dia	mostics choices the default	for future fite
make these diag	gnostics choices the derault	TOF FACALE HIS

e. Click OK.





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- 4) A set of Nonlin fit Results sheets will be added to the GraphPad Prism navigator (image on right).
- 5) The "Table of results" sheet will open by default.
- 6) Open the "Interpolated X replicates" sheet and on the menu ribbon in the Analysis box, select Analyze. Under the 'Transform, Normalize...' section, select "Transform" and the A-Replicate Data set in the right-hand window should be selected. Click OK.
- 7) Set up the Transform Parameters with the following items selected: "Standard functions," "Transform Y values using 'Y=10~Y'," and "Transform individual Y values." Under the 'New graph' section, "Create new graph" should be selected.



- 8) Click OK.
- 9) A new data sheet will be created called "...Transform of Nonlin fit of Data." This sheet now contains readout values for the plate repeats in pg.



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APPENDIX 3: DIXON'S TEST FOR OUTLIERS

- 1. If the %CV for a triplicate well repeat is $\geq 20\%$ a Dixon's test for outliers can be used to determine if one cell can be eliminated as an outlier
- 2. The Dixon's test uses the following criteria:
 - The Dixon's test for outliers is outlined in United States Pharmacopeia (USP) General Chapter A. <111> "Design and Analysis of Biological Assays" under the "Rejection of Outlying or Aberrant Observations" section. The Dixon's test is used to determine if one (and only one) value from a small set of values can be legitimately rejected from a normally distributed set of data. The Dixon's test can be applied by first arranging the values from the smallest to the largest: triplicate repetitions $X_1 < X_2 < X_3$.

X₁ is an outlier if
$$\frac{(X_2 - X_1)}{(X_3 - X_1)} > 0.941$$

X₃ is an outlier if $\frac{(X_3 - X_2)}{(X_3 - X_1)} > 0.941$

- B. The cutoff in USP <111> for rejection of outliers from three values is P > 0.976, which gives a 98% confidence level for a one-sided test and a 96% confidence level for a two-sided test. Because an outlier can be either high or low in the triplicate samples, a two-sided approach is suggested. Either the 0.976 or 0.941 confidence limit can be selected as the decision criterion as long as the confidence level is indicated and applied objectively to the data set.
- The PAR Immunoassay uses the 0.941 confidence limit criterion. C.
- 3. A calculator is provided in the Excel Data Analysis worksheets so that the triplicate repeat numbers only need to be entered into the input cells (light yellow below) and the Dixon's calculations are performed automatically. Example of the highest value being eliminated using the Dixon's calculator:

Dixon's Calculator				
INPUT VALUES:	1.10	25.10	1.20	
	X1 (low)	1.10		
	X2 (mid)	1.20		
	X3 (high)	25.10		
	Dixon's			
	Calculation	Min. Criteria	Outlier?	
Test for Low				
Outlier (X1)	0.00.12	0.041	No	
,	0.0042	0.941	110	
Test for High			Yes, X3 is an	
Outlier (X3)	0.9958	0.941	outlier	
	This calculation has a confidence level of 96%			

- 4. If either the lowest (X1) or highest (X3) value from the triplicate repeats can be eliminated, the Dixon's calculator will state "Yes, Xn is an Outlier." Delete that value from the replicate data and state in the "Notes" column "Outlier removed."
- If one replicate is rejected as an outlier, the remaining two repeats must still meet the 20% CV rule. If 5. not, the entire sample fails QC. Delete the mean value so that it is not used for further analysis and state "Sample Fails %CV QC" in the "Notes" column.
- If the %CV is \geq 20%, but none of the replicates are rejected as an outlier, the entire sample fails QC. 6. Delete the mean value so that it is not used for further analysis and state "Sample Fails %CV QC" in the "Notes" column.





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APPEND	IX 4: CLINICA	L SAMPLE I	DATA F	REPOI	RT	
PAR Imm	unoassay PD Analysi	S				Page 1 of 2
Certified As	say Operator (Print):					
	Certification #:				Today's D	ate:
Trial and I	Patient Information					
Patient ID:			Trial	Site:		
Clinical Cen	ter #:		CTE	P Protoco	1#:	
Assay Rea	dout					
Tu	mor Biopsy Samples Patient/Sample ID	Scheduled Collection Cycle, Day	Actual C Date	Collection Time	n PAR Level e pg/µg protei	s in QC Note
Baseline						
Post-Dose						
PB	MC Samples					
	Patient/Sample ID	Scheduled Collection Cycle, Day	Actual (Date	Collection Time	n PAR Level pg/1E7 PBM	s Cs QC Note
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						

Designations: NA, no biopsy provided; < LLQ, below minimum cut-off for reportable assay results; > ULQ, above maximum cut-off for reportable assay results; NR, not reportable; QC Fail, not reportable due to assay or sample QC failure; IP, not reportable due to insufficient protein or PBMCs







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Additional Information

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Raw data available upon request.

To be completed by Laboratory Director/Supervisor				
Director/Supervisor Signature*:				
Today's Date:				
*Signature indicates assay results have	ve been reviewed and verified.			
Biopsy and Treatment Information (for use by	Clinical site)			
Site of Biopsy:	Primary Tumor:			
Dose Level:				
Agent Name(s):	+			
Dose and Units:	+			

